Shape Matching and Object Recognition

Title:Matching Hierarchical Structures using Association Graphs

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Shock Graph Representation of Shape

• Shocks (or medial axis or skeleton) are locus of centers of maximal circles that are bitangent to shape boundary







Recognition as Matching





Distance Between Shapes

- •**Distance** between shapes is important for recognition
- **Shape space** is the collection of all shapes
 - shape is a point (shock graph) in the space
 - shape deformation (shock graph)sequence is a path through the space
- **Cost of the optimal deformation sequence** is the minimim distance from *A* to *B*

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Application: Gesture Recognition





Motivation

- Many hierarchical structures in computer vision can be represented as trees.
- Matching two hierarchical structures can therefore be formulated as finding their largest isomorphic subtree.
- Equivalently, we can find the maximum clique in their association graph, effectively reducing a hierarchical matching problem to a "flat," discrete optimization problem.
- Powerful, continuous optimization methods applicable only to "flat" problems can be used to find a solution that obeys hierarchical constraints.

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Outline

- Subtree isomorphism
- ♦ An equivalent, maximum clique formulation
- An equivalent, continuous, quadratic optimization formulation

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- A dynamical systems solution framework
- An extension to free trees
- An extension to weighted maximum clique
- Conclusions

Preliminaries

Given a graph G=(V, E), with V the set of nodes, and E the set of edges:

Two nodes $u, v \ 2 \ V$ are *adjacent* (denoted $u \gg v$) if they are connected by an edge.

• A *path* is any sequence of distinct nodes $u_0 u_1 \cdots u_n$ such that $u_{i-1} \gg u_i$, 8 $i=1\cdots n$.

• If $u_0 = u_n$, the path is a *cycle*.

A graph is *connected* if any pair of nodes is joined by a path.

The *distance* between two nodes u and v is the length of the shortest path joining them.

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Trees

♦ A *tree* is a connected graph with no cycles. A *rooted* tree has a distinguished node, the root. \diamond The *level* of a node *u* in a rooted tree (denoted lev(u) is the distance between u and the root. \clubsuit If *u* » *v* and *lev*(*v*) - *lev*(*u*) = 1, *u* is the *parent* of v and, conversely, v is a child of u. Property: In a tree, any two nodes are connected by a *unique* path.

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Subtree Isomorphism

Let $T_1 = (V_1, E_1)$ and $T_2 = (V_2, E_2)$ be two rooted trees.

Any bijection ϕ : $H_1 \mid H_2$, with $H_1 \mu V_1$ and $H_2 \mu V_2$, is called a subtree isomorphism if, given $u, v \mid H_1$, we have:



A subtree isomorphism is maximal if there is no other subtree isomorphism ϕ' : H_1' ! H_2' with $H_1 \frac{1}{2} H_1'$, and maximum if H_1 has largest cardinality.

The maximal (maximum) subtree isomorphism problem is to find a maximal (maximum) subtree isomorphism between two rooted trees.

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The Loss of Hierarchical Structure

In the standard formulation of the association graph, the solutions may not preserve the hierarchical structure of the trees being matched.



Using the standard association graph, the assignment 2 ! h will also be included in the final solution, but the result violates hierarchical constraints, and is also not a tree.

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Solution: Path Strings

Let *u* and *v* be two distinct nodes of a rooted tree, with $u=x_0x_1\cdots x_n=v$ the (unique) path joining them.

The path-string of *u* and *v*, denoted by str(u,v), is the string $s_1s_2 \cdots s_n$ on the alphabet $\{-1,+1\}$ where $s_i = lev(x_i) - lev(x_{i-1})$, 8 $i=1 \cdots n$.





The TAG of two attributed trees $T_1 = (V_1, E_1)$ and $T_2 = (V_2, E_2)$ is the (weighted) graph G = (V, E) where:

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2. for any two nodes (u,w) and (v,z) in V: $(u,w) \sim (v,z)$, str(u,v)=str(w,z)

The Main Theorem

A subset of vertices of a graph G = (V, E) is said to be a *clique* if all its nodes are mutually adjacent.

A maximal clique in *G* is one which is not contained in any other clique having larger size.

Theorem 1 Any maximal (maximum) subtree isomorphism between two rooted trees induces a maximal (maximum) clique in the corresponding TAG and vice versa. (proof in paper)

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Why Association Graphs for Subtree Isomorphism?

The association graph approach is attractive for several reasons:

 it allows us to cast the relational structure matching problem in terms of a well-known problem in graph theory, for which both theory and algorithms are available.

• many powerful heuristics for solving the maximimum clique problem are available (Bomze et al, 1999).

 it generalizes to many-to-many matching problems (see Pelillo et al., 1999 (IWVF), for a review)

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Some Notation

Given an arbitrary weighted graph $G(V, E, \omega)$ with *n* nodes: 1

• S_n is the standard simplex in \mathbb{R}^n :

$$S_n = \left\{ x \in \mathfrak{R}^n : \sum x_i = 1 \text{ and } x_i \ge 0, \forall i \right\}$$

• If $C \mu$ G, \mathbf{x}^{c} will denote its characteristic vector, which is defined

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$$x_i^c = \begin{cases} 1/|C|, & \text{if } u_i \in C \\ 0, & \text{otherwise} \end{cases}$$

 $\overline{i=1}$

otherwise

• where |C| denotes the cardinality of C.

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as:

A Continuous Formulation for MAX-CLIQUE

Consider the following quadratic function:

 a_{ij}

$$f(\mathbf{x}) = \mathbf{x}' A \mathbf{x}$$

where $A = (a_{ij})$ is the adjacency matrix of G, i.e., the $n \ge n$ symmetric matrix defined as:

$$= \begin{cases} 1, & \text{if } u_i \sim u_j \\ 0, & \text{otherwise} \end{cases}$$

 \mathbf{x}^* is a global maximizer of f in S_n if $f(\mathbf{x}^*)$, $f(\mathbf{x})$, for all $\mathbf{x} \ 2 \ S_n$. \mathbf{x}^* is a local maximizer if there exists an $\varepsilon > 0$ such that $f(\mathbf{x}^*)$, $f(\mathbf{x})$ for all $\mathbf{x} \ 2 \ S_n$ whose distance from \mathbf{x}^* is less than ε and if $f(\mathbf{x}^*) = f(\mathbf{x})$ implies $\mathbf{x}^* = \mathbf{x}$, then \mathbf{x}^* is said to be a strict local maximizer.

The Motzkin-Straus theorem (1965) establishes a connection between the global (local) maximizers of f in S_n and maximum (maximal) cliques of G. Namely, a subset of vertices C of a graph G is a maximum clique if and only if its characteristic vector x^c is a global maximizer of f on S_n This allows us to shift to the continuous domain, drawing on continuous optimization techniques to solve the corresponding discrete problem.

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Problem

- Unfortunately, there is a problem with the Motzkin-Strauss formulation.
- Namely, spurious solutions exist that do not obey the properties of a characteristic vector, i.e., the solution doesn't converge to the barycenter of a simplex face.
- In these cases, the cardinality of the solution is known but the assignments are not.

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Solution

Bomze has recently introduced a solution using a regularized version of *f* :

$$g(x) = x'Ax + \frac{1}{2}x'x$$

which is obtained by substituting in *f* the following adjacency matrix:

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$$\hat{A}(x) = A + \frac{1}{2}I_n$$

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where I_n is the *nxn* identity matrix.

A Stronger Result

Theorem 2

Let $C \mu V$, and let X^c be its characteristic vector. Then:

• *C* is a maximum clique of *G*, x^c is a global maximizer of *g* in S_n . In this case, $|C|=1/2(1-g(\mathbf{x}^c))$.

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• C is a maximal clique of G, x^c is a local maximizer of g in S_n .

• All local (and hence global) maximizers of g on S_n are strict.

See (Bomze, Pelillo, and Stix, 1999) for proof.

An Evolutionary Approach to Solving the Continuous Optimization Problem

- The search for an optimal solution on the simplex can be modeled as the evolution of a set of populations over time.
- Following (Hofbauer & Sigmund, 1988), the components of our vector \mathbf{x} represent the frequencies of the various populations.
- The rate of increase of a particular population E_i equals the increase in fitness of the population over the average fitness:



 $\frac{\dot{x}_i}{x_i}$ = fitness of E_i – average fitness

$$\dot{x}_i = x_i (f_i(\mathbf{x}) - f(\mathbf{x})), \quad i = 1, ..., n$$

This is called the replicator equation, and it models the evolution of behaviour in animal conflicts.

The trajectory of the populations, including the solution, is guaranteed to stay on the surface of the simplex.

If *f* is linear, i.e., *f* is an $n \pounds n$ matrix such that $f_i(\mathbf{x}) = (W\mathbf{x})_i$, then we can write:

$$\dot{x}_i(t) = x_i(t)(f_i(\mathbf{x}(t)) - f(\mathbf{x}(t))), \quad i = 1,...,n$$

$$\dot{x}_i(t) = x_i(t) \left[(W\mathbf{x}(t))_i - \mathbf{x}(t)' W\mathbf{x}(t) \right], \quad i = 1, \dots, n$$

and in the discrete domain:

$$x_i(t+1) = x_i(t) \frac{(W\mathbf{x}(t))_i}{\mathbf{x}(t)'W\mathbf{x}(t)}, \quad i = 1, \dots, n$$

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The Fundamental Theorem of Natural Selection

Theorem 3 If W=W', then the function

$$f(x) = x'Wx$$

is strictly increasing along any non-stationary trajectory of both continuous-time and discrete-time replicator dynamics. In other words, 8 t, 0:

$$\frac{a}{dt}f(x(t)) > 0$$

for the contonuous-time dynamics, and

f(x(t+1)) > f(x(t))

for the discrete-time dynamics, unless **x**(*t*) is a stationary point. Furthermore, any such trajectory converges to a stationary point (see Fisher (1930), Crow and Kimura (1970), Hofbauer & Sigmund (1988)).

Back to Tree Matching

Let T_1 and T_2 be two attributed trees, G=(V,E) be the corresponding TAG, and define

$$W = A + \frac{1}{2}I_N,$$

our regularized Motzkin-Strauss formulation.

The replicator dynamical system starting from an arbitrary initial state (typically, the barycenter of S_i) will eventually converge to a maximizer of x'Wx over the standard simplex.

This will correspond to a maximal clique in the TAG, and hence to a maximal subtree isomorphism between T_1 and T_2 .

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Matching Free Trees

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Title: Matching Free Trees, Maximal Cliques, and
     Monotone Game Dynamics
     Author: M. Pelillo
    Publication: IEEE PAMI
     Year: 2002
     URL: http://www.dsi.unive.it/~pelillo/papers/
     pami-2001.ps.gz
                                                           38
Graph Algorithms and Object Recognition: max clique
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Extension to Free Trees

- A free tree (or simply a tree) is a connected graph with no cycles.
- Note: Unlike rooted trees, free trees have no distinguished node playing the role of root. Hence, no hierarchy is imposed on the tree.

Property: In a tree, any two nodes are connected by a unique path.

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Deriving the Free Tree Association Graph (FTAG)

The free tree association graph (FTAG) of two attributed trees $T_1 = (V_1, E_1)$ and $T_2 = (V_2, E_2)$ is the (weighted) graph G = (V, E) where:

1. $V = V_1 \times V_2$

for any two nodes (u, w) and (v,z) in V:
 (u,w) ~ (v,z), d(u,v)=d(w,z)

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The Main Theorem Holds for Free Trees

Theorem 1 Any maximal (maximum) similarity subtree isomorphism between two attributed trees induces a maximal (maximum) weight clique in the corresponding weighted FTAG and vice versa. (proof in paper)

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Faster Replicator Dynamics

Continuous-time version (κ is a positive constant):

$$\frac{d}{dt}x_i(t) = x_i(t) \left| \frac{e^{\kappa \pi_i(t)}}{\sum_{j=1}^n x_j(t)e^{\kappa \pi_j(t)}} - \frac{e^{\kappa \pi_j(t)}}{\sum_{j=1}^n x_j(t)e^{\kappa \pi_j(t)}} \right|$$



Tree Matching Replicator Equations

Let T' and T'' be two free trees, let A_G denote the adjacency matrix of the coresponding FTAG, and let

$$W = A_G + \frac{1}{2}I \quad (=W' \ge 0),$$

where I is the identity matrix.

The replicator systems, starting from an arbitrary initial state, will eventually converge to a maximizer of the function

$$f_G(x) = x'Ax + \frac{1}{2}x'x$$

over the simplex. This will correspond to a maximal clique of the FTAG, and hence to a "maximal" subtree isomorphism between T' and T".

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Matching Shape Axis Trees

Recently, Liu, Geiger, and Kohn (1998) introduced a new representation for shape based on the idea of self-similarity.

Given a closed planar shape, they consider two different parameterizations of its contour, one oriented counterclockwise:

$$\Gamma(s) = \left\{ x(s) : 0 \le s \le 1 \right\}$$

and the other clockwise:

$$\hat{\Gamma}(t) = \left\{ \hat{x}(t) = x(1-t) : 0 \le t \le 1 \right\}$$

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Algorithm was tested on a selection of 17 shapes (SA-trees) representing six different object classes (horse, human, bird, dog, sheep, and rhino).

Both the discrete-time first-order dynamics and its exponental counterpart (with κ =10) were used.

The algorithms were started from the simplex barycenter and stopped when either a maximal clique was found, or the distance between two successive points was smaller than a fixed threshold.

Each shape was matched to each other shape (including itself), and in all 289 trials, both algorithms returned the maximum isomorphism, i.e., a maximum clique in the FTAG.

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Matching (Larger) Random Trees

A hundred 100-node free trees were generated uniformly at random using a procedure described by Wilf (1981).

Each tree was subject to a corruption process which consisted of randomly deleting a fraction of its terminal nodes.

Corruption levels: 2%, 10%, 20%, 30%, 40%.

Overall, therefore, 500 pairs of trees were obtained.

Both linear and the exponential dynamics were used.

After convergence, the proportion of matched nodes was calculated and averaged.

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Results obtained over 100-node random trees with various levels of coruption, using the firstorder dynamics. Top: percentage of correct matches; Bottom: average computational time taken by the replicator equations.



Results obtained over 100-node random trees with various levels of coruption, using the exponential dynamics. Top: percentage of correct matches; Bottom: average computational time taken by the replicator equations.





Application: Matching Attributed Hierarchical Structures Title:Matching Hierarchical Structures using **Association Graphs** Authors: Pelillo, Siddiqi, and Zucker Publication: IEEE PAMI Vol. 21, No. 11 Year: 1999 URL: http://www.dsi.unive.it/~pelillo/papers/ pami99.pdf 53 Graph Algorithms and Object Recognition: max clique

Attributed Tree Matching

Let σ be any similarity measure on the attribute space.

An *attributed tree* is a triple $T=(V,E,\alpha)$, where (V,E) is the "underlying" rooted tree and α is a function which assigns an attribute vector $\alpha(u)$ to each node $u \ 2 \ V$.

If ϕ : $H_1 ! H_2$ is a subtree isomorphism between two attributed trees $T_1 = (V_1, E_1, \alpha_1)$ and $T_2 = (V_2, E_2, \alpha_2)$, the overall similarity between the induced subtrees T_1 $[H_1]$ and $T_2[H_2]$ is defined as:

$$S(\phi) = \sum_{u \in H_1} \sigma(\alpha_1(u), \alpha_2(\phi(u)))$$

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The isomorphism ϕ is called a *maximal similarity* subtree isomorphism if there is no other subtree isomorphism ϕ' : $H_1'! H_2'$ such that H_1 is a strict subset of H_1' and $S(\phi) < S(\phi')$.

It is called a *maximum similarity subtree isomorphism* if $S(\phi)$ is largest among all subtree isomorphisms between T_1 and T_2 .

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Deriving the Attributed Tree Association Graph (TAG)

The TAG of two attributed trees $T_1 = (V_1, E_1, \alpha_1)$ and $T_2 = (V_2, E_2, \alpha_2)$ is the (weighted) graph $G = (V, E, \omega)$ where:



2. for any two nodes (u,w) and (v,z) in V: $(u,w) \sim (v,z)$, str(u,v)=str(w,z)

3. for any node (u, w) in $V : \omega(u, w) = \sigma(\alpha_1(u), \alpha_2(w))$

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The Main Theorem holds for Attributed Trees

A subset of vertices of a weighted graph $G=(V,E,\omega)$ is said to be a *clique* if all its nodes are mutually adjacent.

Given a subset of nodes *C* of *V*, the total weight assigned to *C* is the sum of all the weights associated with its nodes.

A maximal weight clique in *G* is one which is not contained in any other clique having larger total weight.

A maximum weight clique is a clique having largest total weight.

Theorem 1 Any maximal (maximum) similarity subtree isomorphism between two attributed trees induces a maximal (maximum) weight clique in the corresponding weighted TAG and vice versa. (proof in paper)

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Some Notation

Given an arbitrary weighted graph $G(V, E, \omega)$ with n nodes: 1

• *S_n* is the standard simplex in R^{*n*}:

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$$S_n = \left\{ x \in \Re^n : \sum x_i = 1 \text{ and } x_i \ge 0, \forall i \right\}$$

• If $C \mu$ G, \mathbf{x}^{c} will denote its characteristic vector, which is defined

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$$x_i^c = \begin{cases} \frac{\omega(u_i) / \Omega(C), \text{ if } u_i \in C}{0, \text{ otherwise}} \end{cases}$$

 $\overline{i=1}$

• where $\Omega(C) = \sum_{u_j \ge C} \omega(u_j)$ is the total weight on C.

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as:

Continuous Formulation of MAX-WEIGHT-CLIQUE

Given a weighted graph $G=(V,E,\omega)$, let M(G) be the class of nxn symmetric matrices:

$$B \in M(G) \Leftrightarrow \begin{cases} b_{ii} = \frac{1}{2\omega(u_i)}, \forall i = 1...n \\ b_{ij} = 0, \text{ if } u_i \sim u_j \\ b_{ij} = b_{ji} \geq b_{ii} + b_{jj}, \text{ otherwise} \end{cases}$$
Graph Algorithms and Object Recognition: max clique
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Weighted Clique/Minimizer Duality

Theorem 2 (extended) Let $G = (V, E, \omega)$ be an arbitrary weighted graph, and let *B* 2 *M*(*G*). Then:

• A vector $\mathbf{x} \ge S_n$ is a local minimizer of $g(\mathbf{x}) = \mathbf{x}' B \mathbf{x}$ on S_n iff $\mathbf{x} = \mathbf{x}^c$, where C is a maximal weight clique of G.

• A vector $\mathbf{x} \ge S_n$ is a global minimizer of $g(\mathbf{x}) = \mathbf{x}' B \mathbf{x}$ on S_n iff $\mathbf{x} = \mathbf{x}^c$, where *C* is a maximum weight clique of *G*.

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• All local (and hence global) minimizers of $g(\mathbf{x}) = \mathbf{x}' B \mathbf{x}$ on S_n are strict.

See (Bomze, Pelillo, and Stix, 1999) for proof.



Attributed Tree Matching

Let T_1 and T_2 be two attributed trees, $G = (V, E, \omega)$ be the corresponding weighted TAG, and define

$$W = \gamma \mathbf{e}\mathbf{e}' - B$$

where $b = (b_{ij})$ is any matrix in the class M(G), e is the vector of 1's, and $\gamma = max b_{ij}$. Note that since B implies a minimization problem, we transform it to W, so that we can apply our replicator equation maximization framework.

The replicator dynamical system, starting from an arbitrary initial state, will eventually converge to a maximizer of x'Wx (and hence a minimizer of x'Bx) over the standard simplex.

The solution yields a maximal weight clique in the weighted TAG, and hence to a maximal similarity subtree isomorphism between T_1 and T_2 .

Attributed Shock Trees

The vector of attributes assigned to each node $u \ge V$ of the attributed shock tree $T=(V,E,\alpha)$ is given by

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$$\alpha(u) = (x_1, y_1, r_1, v_1, \theta_1; \dots; x_m, y_m, r_m, v_m)$$

where

- *m* is the number of shocks in the group.
- x_i and y_i are the coordinates
- r, is the radius (or time of formation)
- *v_i* is the speed
- θ_i is the direction



Measuring Shock Similarity

- The similarity measure we use is a linear combination of four terms, incorporating the differences in lengths, radii, velocities, and curvature of two shock sequences.
- Each term is normalized to provide a unitless quantity, so that these different geometric properties can be combined.
- The measure provides a number between 0 and 1, which represents the overall similarity between the geometric attributes of the two nodes being compared.

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The measure is designed to be invariant under rotations and translations of two shapes.

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	1	1 0.000	∼0.400	√ 0.459	^ 0.466	✔0.477	10.530	\ 0.550	1 0.568
	*	₿0.000	₿0.095	₿0.126	¥0.555	₩0.562	*0.567	₹0.572	≁ 0.575
	*	₩0.000	₿ 0.0 9 5	\$0.160	¥0.544	† 0.563	*0.587	₩0.588	≁0.613
	*	0.000 📽	₿0.126	₿0.160	¥0.592	≻0.600	₩0.604	€ 0.605	₹0.608
		\$0.000	90.334	0.366	10.416	0.455	1 0.498	\$0.518	€ 0.519
	•	•0.000	90.185	\$ 0.334	1.486	٥.520	A 0.529	0.529	N 0.558
	٠	\$ 0.000	90.185	\$ 0.366	Ø 0.480	0.527	1 0.529	10.531	\$0.560
	đ	f 0.000	* 0.601	₿0.730	₿0.730	₿0.732	¥0.738	£0.742	₩0.759
	38	\$\$0.000	€0.601	₩0.680	\$0.681	₹0.689	\$ 0. 69 2	* 0. 69 2	* 0.693

Conclusions

A formal framework for matching hierarchical structures, nonhierarchical structures (free trees), attributed hierarchical structures, and noisy structures has been introduced.

the basic ingredients are:

- subtree isomorphism as clique search
- clique search as a quadratic program
- replicator equations as tree matching co-operative algorithms
 - -- easily implementable in H/W (Torsello and Pelillo, 1998)

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-- offer the advantage of biological plausibility

Application to shape matching problem (via hierarchical shock trees and free shape-axis trees)

Extension to many-to-many matching (Pelillo et al, 2001).